

Attorney Docket: 27526U

MAIL STOP PCT

U.S. Department Of Commerce, Patent And Trademark Office		Date: <u>14</u> July 2006 (<u>14.07.2006</u>)
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No.: (if known): Not Yet Assigned
International Application No.: PCT/IL2005/000043	International Filing Date: 13 January 2005 (13.01.2005)	Priority Date Claimed: 15 January 2004 (15.01.2004)
Title of Invention: SPHINGOMYELIN, INTERMEDIATES THEREOF AND METHODS FOR PREPARATION OF SAME		
Applicants for DO/EO/US: ROCHLIN, Elimelch, HILDESHEIM, Jean, BERLIN, Alisa		
<p>APPLICANT HEREBY SUBMITS TO THE UNITES STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) THE FOLLOWING ITEMS AND OTHER INFORMATION:</p> <p>1. <input checked="" type="checkbox"/> THIS IS A FIRST SUBMISSION OF ITEMS CONCERNING A FILING UNDER 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> THIS IS A SECOND OR SUBSEQUENT SUBMISSION OF ITEMS CONCERNING A FILING UNDER 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> THIS IS AN EXPRESS REQUEST TO BEGIN NATIONAL EXAMINATION PROCEDURES (35 USC 371(F)). THE SUBMISSION MUST INCLUDE ITEMS (5), (6), (9) AND (21) INDICATED BELOW.</p> <p>4. <input checked="" type="checkbox"/> THE US HAS BEEN ELECTED (ARTICLE 31).</p> <p>5. <input checked="" type="checkbox"/> A COPY OF THE INTERNATIONAL APPLICATION AS FILED (35 U.S.C. 371(C)(2)):</p> <p>A. <input checked="" type="checkbox"/> IS TRANSMITTED HEREWITH (REQUIRED ONLY IF NOT TRANSMITTED BY THE INTERNATIONAL BUREAU).</p> <p>B. <input type="checkbox"/> HAS BEEN COMMUNICATED BY THE INTERNATIONAL BUREAU.</p> <p>C. <input type="checkbox"/> IS NOT REQUIRED, AS THE APPLICATION WAS FILED IN THE UNITED STATES RECEIVING OFFICE (RO/US)</p> <p>6. <input type="checkbox"/> A ENGLISH TRANSLATION OF THE INTERNATIONAL APPLICATION AS FILED (35 U.S.C. 371(C)(2)).</p> <p>7. <input checked="" type="checkbox"/> AMENDMENTS TO THE CLAIMS OF THE INTERNATIONAL APPLICATION UNDER PCT ARTICLE 19 (35 U.S.C. 371(C)(3))</p> <p>A. <input type="checkbox"/> ARE ATTACHED HERETO (REQUIRED ONLY IF NOT TRANSMITTED BY THE INTERNATIONAL BUREAU).</p> <p>B. <input type="checkbox"/> HAVE BEEN COMMUNICATED BY THE INTERNATIONAL BUREAU.</p> <p>C. <input type="checkbox"/> HAVE NOT BEEN MADE; HOWEVER, THE TIME LIMIT FOR MAKING SUCH AMENDMENTS HAS NOT EXPIRED.</p> <p>D. <input checked="" type="checkbox"/> HAVE NOT BEEN MADE AND WILL NOT BE MADE.</p> <p>8. <input type="checkbox"/> AN ENGLISH LANGUAGE TRANSLATION OF THE AMENDMENTS TO THE CLAIMS UNDER PCT ARTICLE 19 (35 U.S.C. 371(C)(3)).</p> <p>9. <input type="checkbox"/> AN OATH OR DECLARATION OF THE INVENTOR(S) (35 U.S.C. 371(C)(4)).</p> <p>10. <input type="checkbox"/> A TRANSLATION OF THE ANNEXES TO THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT UNDER PCT ARTICLE 36 (35 U.S.C. 371(C)(5)).</p> <p>ITEMS 11 TO 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>11. <input type="checkbox"/> AN INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98.</p> <p>12. <input type="checkbox"/> AN ASSIGNMENT DOCUMENT FOR RECORDING. A SEPARATE COVER SHEET IN COMPLIANCE WITH 37 CFR 3.28 AND 3.31 IS INCLUDED;</p> <p>13. <input checked="" type="checkbox"/> A FIRST PRELIMINARY AMENDMENT.</p> <p>14. <input type="checkbox"/> A SECOND OR SUBSEQUENT PRELIMINARY AMENDMENT</p> <p>15. <input type="checkbox"/> A SUBSTITUTE SPECIFICATION.</p> <p>16. <input type="checkbox"/> A CHANGE OF POWER OF ATTORNEY AND/OR ADDRESS LETTER.</p> <p>17. <input type="checkbox"/> A COMPUTER-READABLE FORM OF THE SEQUENCE LISTING IN ACCORDANCE WITH PCT RULE 13TER2 AND 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A SECOND COPY OF THE PUBLISHED INTERNATIONAL APPLICATION UNDER 35 USC 154(D)(4)</p> <p>19. <input type="checkbox"/> A SECOND COPY OF THE ENGLISH LANGUAGE TRANSLATION OF THE INTERNATIONAL APPLICATION UNDER 35 USC 154(D)(4)</p> <p>20. <input checked="" type="checkbox"/> OTHER ITEMS OR INFORMATION: TRANSMITTAL LETTER INTERNATIONAL PUBLICATION NUMBER: WO 2005/068480 A1; INTERNATIONAL PUBLICATION DATE: 28 JULY 2005 (28.07.2005), WITH INTERNATIONAL SEARCH REPORT, CONSISTING OF 34 PAGES: 1 PAGE FOR THE COVER SHEET, 22 PAGES OF TEXTUAL SPECIFICATION, 11 PAGES OF 56 CLAIMS, 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENT A & B TO BE EXAMINED; UNEXECUTED DECLARATION AND POWER OF ATTORNEY; FORM PCT/PEA/416 (NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY); FORM/PEA/409 (INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY) WITH AMENDED SHEET; POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>		

U. S. Application Number: (if known) or Not Yet Assigned 10/586056		International Application No.: PCT/YL2005/000043	Date: <u>14</u> July 2006 (<u>14</u> .07.2006)																																																
<p>The following fees are submitted:</p> <p>21. Basic National Fee (37 CFR 1.492(a)) \$ 300.00</p> <p>22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 All other situations \$ 200.00</p> <p>23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$ 400.00 All other situations \$ 500.00</p> <p>— Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.</p> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p style="text-align: right;">\$ 900.00</p>																																																			
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<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																																																			
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER: FILED</th> <th>NUMBER: EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>48-20=</td> <td>28</td> <td>X \$ 50.00</td> </tr> <tr> <td>INDEPENDENT</td> <td>2-3=</td> <td>0</td> <td>X \$ 200.00</td> </tr> <tr> <td colspan="2">Multiple dependent claims(s) (if applicable)</td> <td></td> <td>+ \$360.00</td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> </tr> <tr> <td colspan="4">Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).</td> </tr> <tr> <td colspan="4">SUBTOTAL =</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE =</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> </tr> <tr> <td colspan="4">TOTAL FEES ENCLOSED =</td> </tr> <tr> <td colspan="3">Amount to be: refunded charged</td> <td>\$</td> </tr> </tbody> </table>				CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE	TOTAL	48-20=	28	X \$ 50.00	INDEPENDENT	2-3=	0	X \$ 200.00	Multiple dependent claims(s) (if applicable)			+ \$360.00	TOTAL OF ABOVE CALCULATIONS =				Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				SUBTOTAL =				Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				TOTAL NATIONAL FEE =				Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				TOTAL FEES ENCLOSED =				Amount to be: refunded charged			\$
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- a. One check in the amount of \$ 1,150.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. Fees are to be charged to a credit card WARNING: Information on this form may become public Credit Card Information should not be included on this form.
 Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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10/586056

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Attorney Docket: 27526U
Date: July 14, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ROCHLIN et al.

Serial No.: Not Yet Assigned

Filed: July 14, 2006

Internat'l. Application No.: PCT/IL2005/000043

Internat'l. Filing Date: 13 November 2005 (13.01.2005)

Title: **SPHINGOMYELIN, INTERMEDIATES THEREOF AND METHODS FOR
PREPARATION OF SAME**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1 Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- 2 International Publication Number: WO 2005/068480 A1; International Publication Date: 28 July 2005 (28.07.2005), with International Search Report, consisting of 34 pages:
 - 1 page for the Cover Sheet,
 - 22 pages of Textual Specification,
 - 11 pages of 56 Claims,
 - 0 sheets of Drawings;
- 3 Preliminary Amendment with Attachment A & B to be examined;
- 4 Unexecuted Declaration and Power of Attorney;
- 5 Form PCT/PEA/416 (Notification of Transmittal of the International Preliminary Report On Patentability);
- 6 Form/PEA/409 (International Preliminary Report on Patentability) with Amended Sheet;
- 7 Check No.: 2462 in the Amount of \$ 1,150.00 for the Basic Filing Fee, which includes the Search and Examination Fees (\$450.00) 28 total claims in excess of 20 (\$700.00), as a Small Entity;
- 8 Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,
NATH & ASSOCIATES PLLC



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